

REGULATORY ADVISORY SERVICES

COMPLIANCE LIBRARY

1071 - Ready, Set, Go
2020 UDAAP – Are All Your Bases Covered?
2021 Debt Collection Changes
2022 BSA/AML/OFAC Annual Training - Part 1
2022 BSA/AML/OFAC Annual Training - Part 2
2024 & 2025 – A Time for Review
Adverse Action Notices Done Right
Adverse Action Notices - Help Consumers and Help Your Bank
Advertising Compliance - Rules and Pitfalls to Avoid
Advertising Deposit and Non-Deposit Products
Advertising Lending Products: Do's and Don'ts
Agency Resources and Updates - Forum
A.I. and Banking
April 2022 Forum: Capco Academy Blackboard
Appraisals and Valuations
Appraisal Compliance Update 2022
Appraisal Compliance Update 2023
Appraisal Forum
Are You in a Privacy Conundrum?
A Look at Finance Charges, APR & Amount Financed: A Refresher
A Refresher on Debt Collection under the FDCPA
Avoiding Pitfalls in Advertising: What We See and What You Should Do
Bank Fees
Bank Junk Fees
Bankers and Brokers – Unlicensed Securities Sales and Lending on Securities
Banking Scams and Schemes
Basics of Beneficial Ownership
Board of Directors Responsibilities

BSA and Human Trafficking
BSA and SARs - Avoiding Critical Mistakes
BSA Annual Training Update - Something Different on the Horizon
BSA/AML/OFAC Annual Training - Part I
BSA/AML/OFAC Annual Training - Part II
Cannabis Banking - Part I: The Basics
Cannabis Banking – Part II: Risk Identification and Risk Management
CDD: Beyond the Basics
Climate Change & ESG: What Financial Institutions Need to Know
Changed Circumstances and Tolerances - A Review
Check 21 – Collection and Clearing of Checks
Commercial Lending – Part I
Commercial Lending – Part II
Commercial Lending and Flood
Complaint Management 2024
Compliance Aspects of Consumer Reports
Compliance Examination Readiness
Compliance for Commercial Lending
Compliance Management System
Compliance Roundhouse
Compliance with Regulation GG - Unlawful Internet Gambling Enforcement Act
Consumer Access to Financial Records –Sec. 1033
Consumer Compliance Regulations in Banking and Digital Currency
CRA Data Reporting for 2022
CRA Public Evaluations – What You Should Know
CRA Refresher 2025
CRA Reporting/Updates- Intermediate Banks
CRA Reporting/Updates- Small Banks
CRA Reporting/Updates-Large Banks Part 1
CRA Reporting/Updates: Large Banks - Part 2
CRA Updates - OCC & FRB Rulemakings
CRA: Present and Future
Credit Cards-What You Need to Know
Cryptocurrencies, Blockchains and Risk Management
Cybersecurity Update - 2020
December Forum: The New QM Rules - What You Need to Know
Discrimination in Banking
Disparate Impact Rulemaking & Risks
ECOA - Top Ten Common Errors
Elder Financial Abuse – Latest Guidance on What Financial Institutions Need to Know
Elder Financial Exploitation & Fincen Advisory FIN 2022-A002
Elder Financial Exploitation: Trends, Methods, Detection and Reporting
Enhanced Due Diligence

Enterprise Risk Management
Enterprise Risk Management (ERM) Program Development
Environmental, Social, and Governance
Error Resolution Compliance - From E to Z
Escrow Compliance – Do’s and Don’ts
E-sign: Does It Need Modernization?
Escrow – The Basics and Beyond
Examining Major Trends in Fraud - An Update on Fraud at Financial Institutions
Fair Credit Reporting Act Compliance in the Age of COVID-19
Fair Credit Reporting Act – Data Integrity
Fair Lending I
Fair Lending II
Fair Lending and Servicing
Fair Lending Hot Topics
FCRA Dos and Don'ts
FCRA – Affiliates and Sharing with 3rd Parties
FCRA – Financial Institution Responsibilities in 2025
FCRA – Making Sure You Are Compliant
FDIC Insurance Forum
February 2022 Forum: Supervisory Expectations
Federal Deposit Insurance – FDIC and NCUA
FinCEN Guidance – Recent Updates
FinTech Banking Landscape and Compliance Considerations
Flood Calculator
Flood Insurance Compliance – Best Practices
Flood Insurance Requirements 2024
Forgotten Laws and Regulations – CERCLA and Regulations R and U
Forum: FDIC Signage
Getting Ready for HMDA Reporting
Governance, Risk, and Compliance Solutions Insights
High Cost and Higher Priced Loans-What You Need To Know
HMDA 2021 – Where Do You Stand?
HMDA 2022
HMDA 2022 – Time to Prepare
HMDA 2023
HMDA 2024 – Are You Ready?
HMDA Revised AGAIN - What You Need to Know Now
How to Build an Effective Compliance Management System
Identity Theft 2022
July 2021 Forum: Suspicious Activity-Spotting, Reporting, Sharing
July 2022 Forum: Revised & Updated Flood Q&As
June 2021 Forum: CFPB Rescinded Policy Statements and New Supervisory Standard
June 2022 Forum-A Look at the Interagency Community Reinvestment Act Notice of Proposed Rule Making

KBYO Amendments: How You Can Ensure Compliance
Lessons from Recent Regulatory Enforcement Actions
Loan Originator Compensation – 10 years later
Loan Servicing No Nos – What They Are and How to Avoid Them
Managing Compliance Risks of Incentive Programs
Managing Your Examination
Marijuana Banking and BSA
Marijuana, Hemp, Cannabis What Bankers Should Know
Mastering E-Sign: Navigating Compliance in the Digital Age
May Forum: CFPB UDAAP Updates
Mortgage Fraud
Mortgage Servicing: Part I
Mortgage Servicing: Part II
Mortgage Servicing Rules Effective April 2018
Navigating the Regulation E 2021 FAQs Webinar
November Forum: RESPA – Prohibitions on Kick-backs and Unearned Fees
OCC’s CRA Final Rule – What You Need to Know Now
October 2021 Forum: Small Business Lending Data Collection Proposed Rule
OFAC and USA Patriot Act – Obligations and Duties
Overdraft Compliance 2.0
Overdraft Protection Compliance
Overdraft Protection Products
Overdraft Protection Programs
Past Due & Defaulted Borrower - What to Do
Payday and Small Dollar Lenders
Planning for a Return to the Workplace - Creating a COVID-19 Focused RCM Program
Policy and Procedure Drafting: What You Need to Know
Preparing for Section 1033 in the Future of Open Banking
Privacy: Disclosure of NPI & Safeguarding the Confidentiality
Private Flood Insurance – Are You Getting It Right?
Quality Control – Not Just Compliance
Qualified Mortgages in 2023
Recertification of Value (ROV) - Guidance and Compliance Forum
Registration, Compensation and Kickbacks for Mortgage Loans
Reg B and Lending During Covid-19
Regulation CC
Regulation CC – 2020 Updates
Regulation CC – A Refresher and Update for 2025
Regulation CC: Funds Availability Training
Regulation D – A Refresher and a Look at the New Changes
Regulation E EFT Basics: From Nuts to Bolts
Regulation E – Error Resolution Compliance
Regulation O: Still a Regulation

Regulation Z – Error Resolution – When Consumers Dispute Merchant Transactions
Regulatory Change Management – Best Practices
Remittance Transfers – Changes in 2020
Remote Deposit Capture – Basics
Revisiting HMDA – 2020
Right to Financial Privacy Act and Reimbursement for Providing Financial Records
Risk Assessments and Compliance Audits
Section 1071-What You Need To Know 2022
Section 1071 – What You Need To Know 2023
September 2022 Forum: Regulation O
Setting the Table for 2022
Sexual Harassment in Lending and Housing
Small Business Lending – What’s Next for Section 1071?
Small Creditors and Small Servicer - Applicability and Exceptions
Social Media: Regulations and Considerations
Teller Compliance
The E-SIGN Act and Electronic Signatures
Third – Party Vendor Management
TILA – RESPA Integrated Mortgage Disclosures
Top Enforcement Actions of 2022
TRID Closing Cost Errors/ Changed Circumstances
TRID Construction
TRID Construction Loan Guidance - The Latest
TRID Placement of Fees
Truth in Savings Disclosure and Advertising Primer
Truth in Savings – Disclosures
UDAAP Unraveled: A Comprehensive Guide to Compliance
Understanding the New Trump Administration: Policies, Priorities, and Implications
URLA...Final Frontier?
Vendor Management – The Risk in Relationships
Vendor Risk Management in a Post Dodd-Frank World
Virtual Currency – The Regulatory Framework
Website Compliance
What to Expect in 2024
What's New In Prepaid
What's New with ECOA and Regulation B